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Vancouver, B.C., Canada

V6B1G1

13 August 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir/Madam:

Re:

United States Patent Application No. 10/684622

Filed 14 October 2003 for HYBRID POWER SUPPLY APPARATUS FOR BATTERY

REPLACEMENT APPLICATIONS

Inventor(s):

CORLESS, Adrian J.; LEBOE, David; LAWRENCE, Carolyn; REID,

Christopher E.J.; KRATSCHMAR, Kenneth W.

Applicant:

Cellex Power Products, Inc.

Our File C578 0029

### Disclosure Statement Pursuant to 37 C.F.R. §1.56

Transmitted herewith is an Information Disclosure Statement disclosing information which has come to the attention of applicant(s) and/or their agents and is being submitted in compliance with the duty of disclosure set forth in 37 C.F.R. §1.56.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicant determines that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

In accordance with 37 C.F.R. §1.98, transmitted herewith are:

- 1. A completed copy of Form PTO/SB/08 "Information Disclosure Statement by Applicant" listing the patents, publications and other information being submitted for consideration;
- 2. A legible copy of each patent, publication and other item of information in written form listed on the enclosed Form PTO/SB/08.

Respectfully submitted,

By:

Thomas W. Bailey Registration No. 36,411 tel:

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Vancouver, B.C. CANADA

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. PATENT APPLICATION SERIAL NO	10/684,622
FILING DATE	14 October 2003
INVENTORS	Christopher E. J. Reid et al
ASSIGNEE	Cellex Power Products, Inc
GROUP ART UNIT	
EXAMINER	Unknowi
ATTORNEY'S DOCKET NO	45896.0023
TITLE "Hybrid Power Supply App	paratus for Battery Replacement Applications'
TRANSMITTAL LETTER AND CEI	RTIFICATE OF MAILING

To: Mail Stop DD

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

From: L. Grant Foster

HOLLAND & HART LLP

555 - 17<sup>th</sup> Street, Suite 3200

P.O. Box 8749

Denver, Colorado 80201 Telephone: (801) 595-7830 Facsimile: (801) 364-9124

Enclosed are the items listed below submitted regarding the matter identified above:

- Transmittal Letter with Certificate of Mailing included 1.
- PTO Return Postcard Receipt 2.
- Disclosure Statement Pursuant to 37 C.F.R. § 1.56 3.
- Form PTO/SB/08 (4 Sheets) 4.
- Copy of Cited References 5.

Deposit Account Authorization - The Commissioner is hereby Authorized to charge payment of any applicable fees to Deposit Account No. 108/2623

Řeg. No. 33,236

## **CERTIFICATE OF MAILING**

I hereby certify the items listed above as enclosed are being deposited with the U.S. Postal Service as either first class mail or Express Mail, if the blank for Express Mail No. is completed below, in an envelope addressed to Mail Stop DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the below indicated date.

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Substitute for form 1449/PTO	Complete if Known		
	Application Number	10/684,622	
INFORMATION DISCLOSURE	Filing Date	14 October 2003	
	First Named Inventor	REID, Christopher EJ	
STATEMENT BY APPLICANT	Art Unit	3618	
(Use as many sheets as necessary)	Examiner Name		
Sheet 2 of 4	Attorney Docket Number	45896.0023	

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.¹	Document Number  Number-Kind Code <sup>2 (d known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	20	<sup>US-</sup> 5,631,532	05-20-1997	Azuma et al.	
_	21	<sup>US-</sup> 5,929,595	07-27-1999	Lyons et al.	
	22	<sup>US-</sup> 5,332,630	07-26-1994	Hsu	
	23	<sup>US-</sup> 5,139,894	08-18-1992	Mizuno et al.	
	24	<sup>US-</sup> 4,961,151	10-02-1990	Early et al.	
	25	<sup>US-</sup> 4,931,947	06-05-1990	Werth et al.	
	26	<sup>US-</sup> 4,962,462	10-09-1990	Fekete, deceased	
	27	<sup>US-</sup> 5,780,980	07-14-1998	Naito	
	28	<sup>US-</sup> 5,623,194	04-22-1997	Boll et al.	
	29	<sup>US-</sup> 5,820,172	10-13-1998	Brigham et al.	
	30	<sup>US-</sup> 5,945,808	08-31-1999	Kikuchi et al.	
	31	<sup>US-</sup> 6,011,379	01-04-2000	Singh et al.	
	32	<sup>US-</sup> 5,760,488	06-02-1998	Sonntag	
	33	<sup>US-</sup> 5,601,936	02-11-1997	Dudfield et al.	
	34	US- 5,075,813	12-24-1991	Takabayashi	
•	35	<sup>US-</sup> 5,710,699	01-20-1998	King et al.	
	36	<sup>US-</sup> 4,670,702	06-02-1987	Yamada et al.	
	37	<sup>US-</sup> 4,839,246	06-13-1989	Takabayashi	
	38	<sup>US-</sup> 6,214,484	04-10-2001	Hauer	

Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ <sup>¢</sup>
	7	DE 43 21 768 A1	01-12-1995	Abels		
	8	GB 1,129,709	10-09-1968	Durrant		
J	9	EP 0 936 178 A1	08-18-1999	Kikukawa		П
	10	JP 2000-85377	03-28-2000	Yoshizumi et al		
J	11	JP 59134573	08-02-1984	Toshiaki		

Examiner	Date	
Signature	Considered	·

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO

Sheet 1

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/684,622			
Filing Date	14 October 2003			
First Named Inventor	REID, Christopher EJ			
Art Unit	3618			
Examiner Name				
Attorney Docket Number	45896.0023			

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (d known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	<sup>US-</sup> 5,759,712	06-02-1998	Hockaday	
-	2	<sup>US-</sup> 4,339,015	07-13-1982	Fowkes et al.	
	3	<sup>US-</sup> 3,497,027	02-24-1970	Wild	
	4	<sup>US-</sup> 4,593,786	06-10-1986	Tate	
	5	<sup>US-</sup> 1,527,431	02-24-1925	Gumpper	
	6	<sup>US-</sup> 3,690,397	09-12-1972	Parker	
	7	<sup>US-</sup> 3,756,350	09-04-1973	Gandolfo et al.	
-	8	<sup>US-</sup> 3,897,960	08-05-1975	Cosby	
	9	<sup>US-</sup> 4,199,037	04-22-1980	White	
	10	<sup>US-</sup> 4,325,451	04-20-1982	Umeda	
	11	<sup>US-</sup> 4,878,877	11-07-1989	Auer et al.	
	12	<sup>US-</sup> 5,065,320	11-12-1991	Hayashi et al.	
	13	<sup>US-</sup> 5,738,187	04-14-1998	Dammeyer et al.	
	14	<sup>US-</sup> 5,845,583	12-08-1998	Jensen	
	15	<sup>US-</sup> 6,242,873	06-05-2001	Drozdz et al.	
	16	<sup>US-</sup> 4,839,574	06-13-1989	Takabayashi	
	17	<sup>US-</sup> 4,883,724	11-28-1989	Yamamoto	
	18	<sup>US-</sup> 5,334,463	08-02-1994	Tajima et al.	
	19	<sup>US-</sup> 5,714,874	02-03-1998	Bonnefoy	

	FOREIGN PATENT DOCUMENTS						
Examiner Cite Initials* No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages			
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>	
_	1	WO 01/79012 A2	10-25-2001	Harris et al.			
	2	EP 0 860 889 A1	08-26-1998	Honbo et al.			
	3	DE 196 41 254 A1	04-16-1998	Rosenkranz			
	4	DE 44 27 322 A1	02-15-1996	Gleich Anmelder			
J	5	WO 96/06749	03-07-1996	Mori			
1	6	WO 99/02358	01-21-1999	Martin et al.			

Examiner	 Date	
Signature	Considered	
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Substitu	Substitute for form 1449/PTO			Complete if Known		
Cabalila	10 10 10 11 1440 170			Application Number	10/684,622	
INF	ORMATION	DIS	CLOSURE	Filing Date	14 October 2003	
STA	TEMENT B	BY A	PPLICANT	First Named Inventor REID, Christopher EJ		
	(Use as many she	atc sc n	eressan/l	Art Unit	3618	
	(USO as many sno	013 d3 11	ecessary)	Examiner Name		
Sheet	3	of	4	Attorney Docket Number	45896.0023	

	-	NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	Airtrax Corporation Press Release, March 2000, Vineland, New Jersery USA	
	2	Draft Final Report, October 1999, Prepared for Naval Air Warfare Center Aircraft Division, Lakehurst, NJ 08733 USA	
	3	Johnson, G. K. et al., "A Fuel Cell Power System for Forklift Trucks", EVC No. 8305, EVC Expo '83, October 4-6, 1983.	
	4	Kaufman, A. et al., "Fuel Cell Power System for Forklift Truck", MERADCOM 30th Power Sources Symposium, June, 1982.	
	5	Dowgiallo, E.J. et al., "A Fuel Cell-Battery Power Source for Electric Vehicles", 782407E, The Fifth International Electric Vehicle Symposium, October, 1978.	
	6	O'Sullivan, J.B. et al., "Hybrid Power Source for Material Handling Equipment", IECEC 1975 Record.	
<b>4</b>	7	Rainer, H., "Solar Hydrogen Project at Neunburg vorm Wald, Germany", XII World Hydrogen Energy Conference, June, 1998 (archived at	
		http://www.solarhydrogen.com/pdfs/eng/publications_e_11.pdf)	
	8	J.J. Early et al., "An Integrated Fuel Cell/Battery Power System for a Forklift Truck", Journal of the Electrochemical Society, vol. 130, No. 8, Aug. 1983,	
/		p. 331C, abstract No. 376.	

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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	_	luction A	ct of 1995, no persons ar	e required to respond to a collection of information unless it contains a valid OMB control number.  Complete if Known			
Substitute for form 1449/PTO				Application Number	10/684,622		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use as many sheets as necessary)				Filing Date	14 October 2003 REID, Christopher EJ		
				First Named Inventor			
				Art Unit	3618		
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Sheet	4	of	4	Attorney Docket Number	45896.0023		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/	9	"Fuel Cells for Everyone", Siemens R & D Homepage, 2/1998	
			-
		,	

Examiner	 Date	
Signature	Considered	

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